[Vikram.359211@2freemail.com](mailto:Vikram.359211@2freemail.com)

**VIKRAM**

***Seeking a challenging position with an esteemed organization utilizing rich expertise in Investment Banking Sector with extensive knowledge of business & financial analysis to profitably enhance the decision making of the organization***

**SUMMARY**

* An accomplished Investment Banking – Specialist with more than 4 years of experience in banking, operations, risk management, process management, client management and team management
* Currently associated as a Specialist with State Street HCL India Pvt. Ltd, India
* Proven track record of building tailored financial strategies and solutions to meet the needs of clients
* Skilled at consulting with clients to delineate and analyze financial situations while developing strategic solutions that strengthen investment goals
* Top consulting background combined with abilities in simplifying and communicating complex financial scenarios in accordance with company’s internal policies and procedures
* Ensured completion of lagging operations there by the growth and profitability of the company
* Demonstrated success in research, analyze and perform due diligence on potential investments
* Detailed oriented and passion for excellence in quality of work; willing to go the extra mile to self-check and ensure accuracy
* A strong motivator and team player with effective leadership, communication, decision making, problem solving and interpersonal skills, together with a bottom-line corporate focus and a results-driven attitude

**EDUCATION**

* CS (Company Secretary): Intermediate
* CWA (Cost Accountant): Intermediate – Group I
* Master of Business Administration (MBA) in Finance & Marketing from VSA Group of Institutions, India (2011)
* Bachelor of Commerce (B.Com) from Vysya College, India (2008)

**CERTIFICATIONS**

* Certified Lean Practitioner

**TECHNICAL SKILLS**

|  |  |
| --- | --- |
| * SQL * ROSCO / IDC * JIRA – Addressing Queries | * Truview – An analytical engine * Bloomberg – Terminal and Support Application * Thomson Reuters – Data Scope and Eikon |

**CAREER CONTOUR**

|  |  |  |
| --- | --- | --- |
| **Employer** | **Designation** | **Duration** |
| State Street HCL India Pvt Ltd, India | Specialist | Feb 2014 – Till Date |
| BNP Paribas Sundaram Global Securities Operations, India | Associate | Jun 2012 – Oct 2012 |
| TCS E-Serve (Back-End Ops for CITI Bank), India | Process Associate | Sep 2011 – Apr 2012 |

**NOTABLE ACCOMPLISHMENTS**

***SSGX Risk Services – Risk (Data Management) – Benchmarks:***

Project Nature: Pilot; Mode of Transition: Remote/On Call; Transition Timeline: Training period: 25 Feb’14 - 18 Apr ‘14; live: 21st Apr ’14

* Benchmark team assists client by making data available at both Index and Constituent level
* Benchmark setup – by liaising with Licensing team (to find out cost involved for clients) – SSIA\_RIMES team (to fetch Raw files) – BM team will Map the raw file with the required fields and the mapped file will be used by the Client service team for various reporting purposes
* Facilitating usage of Credit Rating, on client request for Sources viz., Bloomberg PSDL, on the basis of security ratings provided by S&P, Moody’s and Fitch
* Managing feature activation and setup – Sources of Feature are from Bloomberg back office files and Bloomberg PSDL
* Articulate in OAS curve loading, Daily Bond Equivalent check and Benchmark completeness check
* Handling Weekly fixed agency MBS ISIN Check / Monthly Benchmark completeness check and process
* Involving in index setups - Sources: Bloomberg and Thomson Reuters
* Sharing industrial research with Operations team advising on suitable alternative indices to help clients manage costs

***SSGX Risk Services – Risk (Data Management) – Market Data:***

* Sourcing daily data (External :IDC, Reuters, Fitch, Markit, iCap, Option Metrics; Internal : Derived data like Discount factor, Volatility Generation, Spread Generation, Constant Maturity Generation) which will be consumed by our risk models in order to value client securities and portfolios - Performing missing vendor process and Spike checking against pre-determined thresholds
* Managing automated validation checks (Truview) with human analysis of exception reports and subsequent resolution of vendor data issues on a daily basis before any client positions begins simulation
* Involving in Maintaining 2 sets of historical data – Actual and Adjusted / Processing of Corporate Actions / Handling of Secmaster related queries / Addressing JIRAs (adhoc)
* Supporting development of financial models underlying Truview by assisting the Research and Quant teams in sourcing data and running tests

***Risk Services – Risk (Client Services) – Risk Operations:***

* Spearheading:
  + Position Extraction (PE) – output file extracted from various sources: external (external vendors) and internal (FDR and GLS)
  + Position Builder (PB) – positions in PE are enriched with terms and conditions
* Handling DTMX – Conversion of PB file to XML format thereby enabling to be read by Truview models
* Managing simulation – NPV calculations for the clients’ portfolios and In Depth Historical Simulation
* Handling and fixing errors/exceptions in any of the above stages
* Providing global support for Asset Owners, Asset Managers and Regulatory (AIFMD and UCITS)
* Liaising as a Scenario Manager – Creating Risk(VaR – Historical and Parametric) Scenario, Stress Test, Performing curve data quality checks, proxying of curves(equity, bond, CDS and Price Volatality),Historical back test, P&L distribution and Trend Analysis

***Team Management (BM & MD):***

* Tracking of planned leaves and OTs of the team
* Ensuring KPIs are filled on daily basis
* Monitoring and organizing weekly call and meeting, preparing reports and agenda for the call and MOM (circulated among the team as action points)
* Managing calculation of incentives for the team / Preparing Governance Deck details / Tracking and updating project JIRAs
* Ensuring closure of all possible JIRAs on daily basis

**Associate - (Asset & Fund Services – Trade Support – ALERT)**

* Spearheaded in managing SSI’s for various funds set-up

***New Funds & Custody Changes:***

* Involved in preparing & carry-out all New Fund / Custody Change requests
* Managed chase & input all outstanding information to complete New Fund / Custody Change set-up
* Logged entries in Calendar for future New Fund / Custody Change launches to provide Broker Access & email NON ALERT brokers (if required)
* Logged all actions for New Fund / Custody Change set-up on Amendments/Resigned Client Log
* Handled pass for checking for each stage of New Fund / Custody Change set-up

**Market Bulletins:** Monitored pending folder in Market Bulletins / prepared & carried out all sub custody changes

**Amendments:** Carried out all amendments on a daily basis unless the date is in the future / logged all amendments made in Amendments/Resigned Funds Log & pass for checking

**Resigning Clients:** Managed in monitoring resigned clients folder / Remove funds from ALERT one month after receipt of STP from Transitions / Initial Email Checklist for all emails read & dealt with

**Interest Claims Iris’s:** Monitored Interest Claims folder in the Mailbox / Investigated & responded to all Alert related to Iris’s and Interest Claims

**Process Associate (Hedge Fund Services - Reconciliation & Investigation)**

* Spearheaded in reconcile the cash & position for the funds that includes various types of securities (Equities, Swaps, and Stocks & Futures)
* Managed In cash - To ensure that cash is separated into two, settle part and unsettle part based on trade date and settlement date
* Articulate in investigating and processing of corporate actions like dividend, merging, delisting, and rights issue
* Ensured the holdings held with broker and client matches
* Liaised in handling Interest & Stock loan and Forwards & Spots during month end valuation
* Handled booking and settling of interest on month end matching with client
* Managed reconciling and booking of forwards and spots matching with client

**ACHIEVEMENTS & CONTRIBUTIONS**

* Achieved Reward & Recognition Best Performer JFM’15
* Promoted from Senior Analyst to Specialist in June 2015 cycle
* Received consistent grading from Management as Exceptional Performer
* Sole contributor towards SOP
* Monthly process (PI) – reduction of cycle time by tracking possible PB Errors
* Efficiently handled cleanup process (PI) implemented in the processing of Benchmark setups, by adopting a time based guideline. Thereby reduction in the count of pending/idle requests
* Successfully designed and released to production on generic mapping for Benchmark constituent files, which are sourced from RIMES and MDH
* Noteworthy contribution (on behalf of Benchmark and Market Data) towards migration from Truview to Currenex Truview platform – Testing and Sign off
* SecMaster being one of the prime activities in Market data, process was solely transitioned from satellite team followed by documentation of SOP and provided KT to India team
* Articulate in suggesting and implementing spike threshold (PI) for various products, thereby contributing towards redefining the Market Data process

**SKILL SET**

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| --- | --- | --- |
| * Investment Banking * Resource Management * Financial Modeling * Process Improvement * Portfolio Management | * Project Management * Client Satisfaction * Transition Management * Risk Management * Market Data | * Benchmark Operations * Banking Operations * Documentation * Audit Management * People Management |

**AVAILABILITY**

* Willing to relocate at the earliest and do not hold bond with the current employer

**LANGUAGE SKILLS:** Fluent in English

**REFERENCES:** Available upon request