Prakash

Date of Birth: 15-08-1989

Nationality: Indian

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EXPERIENCE SUMMARY

* A dynamic and diligent Analyst having 4 years of experience in Anti Money Laundering domain with sound knowledge in CDD, KYC and Customer Screening.
* Well versed in identifying suspicious transactions in customer profiles and escalate as per bank standards.
* Own incredible enquiring mind to plan & complete complex investigations and identify fraudulent activities and suggest corrective actions.
* Knowledge in CDD, KYC, AML and Sanctions.
* Experience in handling Customer name screening process and monitoring of customer database for any suspicious transactions.
* Identifying potential risks and reporting it to management on a timely manner.

CAREER HISTORY

Position : Analyst

Team : Financial Crime Surveillance Unit

Company : Standard Chartered Bank (October 2012 – October 2016)

ROLES AND RESPONSIBILITIES

* Risk based profiling of customers as High, Medium and Low Risk and performing Enhanced Due Diligence for High risk customers and complete risk assessment.
* Having knowledge in handling CDD for countries like UAE, Bahrain, Oman, Qatar, Jordan & India.
* Ensure that all required KYC information and documentation for new and existing customer is complete and accurate.
* Reviewing profile using public domain research, Norkom and reliable sources.
* Having knowledge in processing CDD for individuals and non-individuals.
* Engaged in performing CDD for New/review/trigger cases.
* Daily BAU includes ID documents verification, preparation of sanctions questionnaire, verifying documents for individuals associated with the client, PEP checks, verifying date and country of Incorporation etc.
* Supported the team in filing the SAR by identifying potential cases and escalating it in the timely manner.
* Performing CDD reviews in line with the standards of CDD procedure and other local regulatory requirements.
* Complete and accurate documentation of investigations, escalation and resolution of sanctions matches (false, potential and positive) maintained with policies and procedures.
* Thorough investigation carried out for sanction alerts in order to verify documents and geographies for the individuals/non-individuals.
* Working effectively with Business units on AML issues in order to safeguard the bank.
* Taking part in Country calls in order to work and develop the process based on country’s requirement.

Areas Trained In:

* + CDD/ AML/KYC
  + eCDD+/NORKOM/Fircosoft

ADDITIONAL ACTIVITIES

* Supporting the Team in preparing MIS reports like KRI report, TAT reports, overdue reports, SRM packs & Team Metrics
* Handling of team responsibilities like training new joiners, initiating and engaging in team activities
* Have initiated and supported the management by doing Internal Quality Reviews and Project Reviews in order to maintain quality standards of the team and reduce errors.
* Key member in creating ‘Need based conversation training module’ for the team.
* Engaged in conducting meetings and carrying out facility arrangements for the team.

ACHIVEMENTS

* Received Value Champ Award twice for overall performance.
* Received Icon Award for exceeding expectations in handling BAU.
* Rated 1 & 2 (Excellent & Very Good) in performance review in my professional career.
* Received numerous appreciations from Managers, Team Leaders and Stakeholders for my work efficiency.
* Received individual performance award for handling BAU in pressurized situations.

EDUCATION

* Masters in Business Administration
  + First Class with Distinction from SSM College of Engineering, Anna University.
  + Bachelors in Science (Computer Science)
* First Class with Distinction from Gobi Arts & Science College, Bharathiyar University, Coimbatore.

DECLARATION

I do hereby declare that the above-furnished information is true to the best of my knowledge.

Date: Signature

Place: