***Bharat Arya***

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**Brief Overview**

* 7 years of experience in financial services domain.
* Managing product control team for leading Investment Bank and managed MBO and NAV services to Hedge funds.
* Responsible for process management, quality adherence, maintain and improve efficiency and increase productivity in the business unit.
* Led projects on process migrations and transitions, system integration, fund launch and training programs.

**Experience**

* Manager - Nomura Services India Pvt. Ltd from April 2015 to Present
* Associate Manager - Nomura Services India Pvt. Ltd from April 2014 to March 2015
* Senior Associate - Nomura Services India Pvt. Ltd from July, 2012 to March 2014
* Senior Associate – Globeop Financial Services from January, 2012 to June 2012
* Associate – Globeop Financial Services from March, 2010 to December 2011

**Achievements:**

*Nomura* :

* Successfully migrated the complete P&L process, worth 4 headcounts at US region, to India.
* Secured team award for significant contribution towards going live with FO-BO reconciliation implementation for US region, with least impact on BAU.
* Successful testing and on boarding of traders sign off process for entire US region.
* Achieved and maintained 10% efficiency in the team throughout the tenure in the organization.
* Promoted from Senior Analyst to Assistant Manager and consequently as Manager within 3 years in the organization.

*Globeop Financial Services* :

* Sent to Head Office (UK) for 5 months to handle client deliverables and as a part of business development.
* Nominated to work on the first conversion fund for the CSO and successfully completed conversion and cross training for the same.
* Successfully automated Dividend Reconciliation for the CSO.
* Promoted from Associate to Senior Associate in January, 2012.

**Work Exposure:**

Working as **Manager** for **Nomura Services India Pvt. Ltd.** since April*, 2015.*

*Management:*

* Handling US Cash Equity and Derivatives team of 8 people.
* Involved in multiple projects at Organization level. Also co-ordinated multiple system testing within Nomura India.
* Preparing consistency and Efficiency matrix.
* Restructuring the P&Ls and process by periodic reviews to bring in better controls in place and to generate efficiency.
* Reviewing daily, weekly, monthly JTP pack to monitor and control over adjustments and risk factors.
* Preparing Monthly KRI pack to identify the risk factors, discuss the same with stake holders and take necessary steps to mitigate the same.
* Conducting weekly calls with stake holders to address their issue, queries and updating about environmental changes and vice versa.

*Projects Handled*:

* Co-Heading Global IT portfolio from product control perspective which includes requirement gathering, feasibility check and coordinating with IT development and support team in planning, prioritizing, executing the projects UAT testing, and post release check outs.
* Involved in Ledger and reporting system integration which included, bucketing the nominal of revenues, expenses and balance sheet items as per the various P&L categories.
* FOBO reconciliation tool “Cobra” on boarding for US region for India location.
* Heading “Risk vs finance” project as per the BCBS 239 requirements for entire US region.

*Key Deliverables*:

* Ensuring daily profit and loss statements are prepared and sent across to traders within timelines post reconciliation of FO and BO with appropriate evidences for differences, if any.
* Analyzing Price variance, Daily Profit & Loss (P&L), Month to Date (MTD) P&L and Reports on a daily basis before releasing the same.
* Giving proper effect of various corporate actions like Stock split, Spin-off, Merger & Acquisition, Bonus issues, Rights issues, Dividend etc., in P&L.
* Report P&L attribution and clean P&L to region and desk.
* Prepare Month End balance sheet substantiation (attestation) with accurate backups for variances, if any.
* Review inter / intra entity Balance Sheet breaks and resolve discrepancies, if any.

Worked as **Associate/ Senior Associate – Fund Accounting** for **Globeop Financial Services** from March, 2010 to June, 2012.

*Job Profile:*

* Perform Reconciliations between the data received via FTP from Trading advisor and Prime Brokers.
* Resolution of breaks by raising queries to respective third parties.
* Analyzing and investigating corporate actions, if any, on the securities in the portfolio and ensure they are booked correctly for NAV calculation purpose.
* Ensure proper bookings of non-trading accruals.
* Analyze and review of PNL exceptions on any of the securities in the portfolio.
* Calculation of management and incentive fees due to trading advisor on each NAV date.
* Allocation of profits of the fund to different investor classes on the basis of their investment in the fund.
* Providing post NAV approval reports to different entities such as Trading advisor, Trustees, etc.
* Preparation of regulatory reportings such as MMIF, CBI returns, Risk reporting, Trustee administration reports and deliver the same at the deadlines.
* Calculation of tax rebate figures for eligible investors as per norms and providing the same to client for further processing. (German and Austrian tax computation)

**Academics:**

**Masters of Business administration (finance)** 2008 - 2010

IBS Bangalore

**Chartered Financial Analyst (CFA)**  2005-2008

ICFAI University Tripura (Correspondence)

**Bachelor in Business Administration (Finance & Marketing)** 2005-2008

C.P. Institute of Business Administration, Ahmadabad

*Personal Details:*

Date of Birth: 3rd September, 1987

Marital Status: Married

Passport: Available (number to be provided if required)

Reference: To be provided if required.