Jayanta

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**SENIOR MANAGEMENT PROFESSIONAL**

**Risk Assessment ~ Internal Control ~ IT Audits**

*Versatile, high-energy professional, successful in achieving business growth objectives within turnaround & rapid changing environment with MNCs of high global repute*

**PROFILE SUMMARY**

* Chartered Accountant (CA), Cost Accountant (CWA) and Certified Information Systems Auditor (CISA) with more than 19 years of work experience in the field of Information Systems Audit and 12 years with **Price Waterhouse (PwC).** Currently working as **“Associate Director”** in Systems and Process Assurance practice.
* A result-oriented professional with diverse roles distinguished by commended performance and proven results
* Proven track record of excellence in the domain of **Risk Assessment**, **Internal Control**, **IT Audits**, Systems and Process Assurance and **ERPs**
* Leading a team of forty highly qualified Information Systems Audit professionals including team management and building high degree of team commitment.
* Information Systems General Controls and Application Controls of various ERPs in areas of understanding and documenting of systems, audit risk assessments as a part of specialist support to the General Audit Group.
* Provided consulting services on setting up robust Internal Control framework in various clients.
* Attesteation of management’s internal financial controls as per Sarbanes-Oxley Section 404, clause 49.
* Provided consulting services to clients to enable compliance with Internal Controls on Financial Reporting **(ICFR)** as per Companies Act 2013.
* Business development in the areas of ERP reviews, Internal Control Framework
* Leading a specialised team to provide Third Party Assurance services under ISAE 3402 and SSAE 16.
* Leading the National Learning and Education (L&E) function in System and Process Assurance Practice.

**CORE COMPETENCIES**

* ***Risk and Controls Assessments***
* ***Compliance on Internal Controls***
* ***Process Review and recommendation on process improvements***
* ***Process mapping and process re-engineering***
* ***Technical Proposal writing***
* ***Business development in the areas of pre/post implementation reviews of ERP, Third party Assurance review (SSAE 16 and ISAE 3402) and control optimisation***
* ***IT Audits***
* ***Internal Controls***

**ORGANISATIONAL EXPERIENCE**

**Price Waterhouse & Co. (PwC), Gurgaon (Since Sep’04)**

**Associate Director (System & Process Assurance)**

**Key Result Areas:**

* Spearheading the Systems and Assurance Practice as Group-in charge, handling integrated coaching, retaining talent, recruiting SPA professionals and ascertaining efficient team management for the benefit of the client, network, team and individuals.
* Allocating appr0priate clients to managers and senior managers , aligning KPIs in the context of the broader business needs of PwC SPA and in accordance with the overall business perspective of the organization
* Involved in effective SPA audit plans by signing-off the same and overall management of complex IT process & audit projects
* Involved and organizing meetings with assurance Partners and Directors and agreeing with the scope of SPA work, timelines, budget of SPA audit assignments
* Conducting quality reviews for working paper files of SPA managers in the areas of IT general controls, automated and manual controls review within various business processes, mapping of risks and controls for achieving desired comfort over financial statement assertions.
* Following up with key individuals in client organizations to nurture cordial relationship.
* Looking after technical proposal writing, augmenting business development in the areas pre/post implementation of ERP & Third party Assurance review (SSAE 16 and ISAE 3402) control optimisation
* Spotting oportunities within audit clients, coordinating with Risk Assurance Service (RAS) team pitching for non audit clients
* Spearheaded PwC India’s joint efforts with Oracle India in the Oracle GRC space, jointly conducted product deep-dive and ‘go-to-market’ strategy
* Heading the National Learning and Education (L&E) function in System and Process Assurance Practice
* Involved in development of L&E Course curriculum, L&E Policy, L&E Budget and L&E Calendar along with roll out of PAN India L&E classroom trainings
* Arranging trainings to SPA new joiners and assurance colleagues on ITGC, Application Controls and PwC Methodologies
* Responsbile for developing audit guides in technical areas like journal entry testing, custom report testing for use by SPA practice and to validate manual journal entries as part of fraud risk procedures
* Accomplished control review assignments for Element K (NIIT) Rochester,New York (US) in Oracle Application 11i by leading a team of IS Auditors of PwC in a project relating to Post Implementation review of Oracle e Business Suite 11i (11.5.10)
* Focusing on coordinating with the PwC global network firms for MNC clients and managing a team dealing with extensive review of ERPs (Oracle R12, SAP R/3, JDE, Homegrown ERPs, PeopleSoft, Ramco), IT Infrstructure reviews (Database, Operating systems, Network), SODs and Access Controls through the use of PwC proprietary Oracle E Business Suite analysis tool GATE (Governance Risk & Compliance tool), ACE\*, SODA.

**Notable Clients:**

* Maruti Suzuki India Limited
* Xerox India Limited
* Motherson Sumi Systems Limited
* Living Media Group
* Jagran Prakashan Limited
* Usha Martin Limited
* NIIT Group
* Nokia Siemens Network
* Bajaj Electricals Limited
* Mahle Filter Systems India Pvt. Limited

**Highlights, Awards and Recognition:**

* Participated/ Track lead from India in Oracle Fusion lock-down (PwC internal Global practice development project) held in Toronto, Canada, encompassing development of practice aids and control solution matrices for the modules of Oracle Fusion GL and Oracle Fusion Security
* Bestowed with PwC Above and Beyond Award in 2013, PwC Experience Award in 2014, PwC Spot Award in 2014
* Have been rated “Significantly Exceeded” performance standard for consecutive three years.
* Achieved & received Regional Assurance Leader bonus for **delivering a valued experience towards Firm activities** in 2012-13, 2013-14.

**CESC Ltd., Kolkata (WB) (Feb’99 – Sep’04)**

**Senior Officer**

**Highlights:**

* Successfully implemented Oracle Applications Release 11.0.3 with focus in the areas of GL and AP modules
* Accomplished design specification of ancillary modules like Purchase, Cash Management, Rent (bolton) Car Fuel (bolton) and Payroll (legacy)
* Provided training on Oracle to the key users and process owners, carried out in business process and Oracle functional scope definition activities as part of this implementation project. This involved review of the work products delivered by the Consultants. It also involved validation of the Oracle solutions of CESC requirements as proposed by the consultants.Involved in integrated process testing of the Oracle functionality as configured.
* Efficiently handled preparation of the Oracle Chart of Accounts for the project and trained end-users for payable module (India Local Payables in day to day functioning)
* Proficiently supervised Statutory & Internal Audit, Supervision of Suppliers Payment System, TDS & WCT Payment and Preparation of Annual / Monthly return through Oracle Financials

**Lovelock & Lewes Chartered Accountants, Kolkata (Nov’96– Jan’99)**

**Computer Assurance Service Supervisor**

**Highlights:**

* To conduct the study of various business processes of clients, suggest improvements in the systems, Cost- benefit analysis and implement such improvement skills.Helped clients in designing of financial systems & MIS through the use of information technology. Preparation of user manual and training manuals.
* Specialised support to General Audit Group of the Firm in understanding and documenting of systems, audit risk assessments.
* Attained specialization in Control Engineering, Quality Assurance Reviews, Security Reviews, Pre/Post Implementation Reviews, Systems Audit particularly in the areas of Control and Security.

**Major Projects:**

* **ITC Limited**: Prepared envisaged projects and Control Engineering manual to be used by the client
* **ESSAR Steel Limited**: Carried out mplementation review of Application Control regarding Production Planning (PP) module in SAP R/3
* **ESCORTS Ltd**.: Successfully drawing up of Control Procedure manual and review of Application Controls regarding Payroll, and Materials Management Module and assisted senior in drawing up of Contingency & Disaster Recovery Planning manual

**PREVIOUS EXPERIENCE**

**Shaw Wallace & Company Ltd., Kolkata (Dec’95 – Sep’96)**

**Trainee**

**Highlights:**

* Drafting the petitions of various appeals, grounds of appeals and statement of facts.
* Attending to various hearings and briefing the outcome of such hearing to DGM(Taxation)
* ICD Reconciliation, preparation of budgets and building a liaison with other departments for finalisation of accounts and taxation matters.

**ARTICLESHIP**

**A R Das & Associates, Chartered Accountants, Kolkata (Feb’92 – Feb’95)**

**Articled Student**

**Highlights:**

* Efficiently carried out Statutory, Internal & Tax audits of various public and private limited companies

**Clients:** Wipro Infotech Ltd., SmithKline Beecham Ltd., Orissa Sponge Iron Ltd., Bhutan Logging Corporation, Bharat Coking Coal Ltd., Mahanadi Coal fields Ltd. Wipro Consumer products Ltd.

**PROFESSIONAL ENHANCEMENTS**

**Memberships and Certification:**

* Certified Information System Auditors (CISA)
* Member of Information Systems Audit & Control Association (ISACA) USA
* Associate Member of Institute of Chartered Accountants Of India.

**Training:**

* System and Process Assurance specialist course
* Integrated Audit Approach including Sarbanes Oxley Orientation Program
* All India Computer Assurance Specialist Training course at Lovelock & Lewes, Chartered Accountants
* Train The Trainer (T3) course for System and Process Assurance (SPA) Methodology at **Hong Kong**

**ACADEMIC DETAILS**

* Certified Information System Auditor **(CISA)** from (ISACA) USA in 2005
* Cost Accountancy **(CWA)** from Institute of Cost Work & Accountants of India in 1996
* Chartered Accountancy **(CA)** from Institute of Chartered Accountants of India in 1996
* Bachelor of Commerce **(BCom)** from University of Burdwan 1991 (Passed in 1st Class)

**PERSONAL DETAILS**

* Date Of Birth: 20th July, 1969
* Marital Status: Married
* Extra Curricular: Cricket, Chess, Debating
* Hobbies: Watching movies, Eating out
* Languages: Fluent in English, Bengali, Hindi.