

**MOHIT**

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**CAREER OBJECTIVE:**

A self-motivated competent professional with more than 2 years of experience in Compliance AML/KYC

Operations and Back office Operations of banking & financial industry domain. To apply my knowledge

and skill into an organization, to work in an innovative & challenging environment and dedicate myself for

work undertaken & utilize my efficiency at the best to fulfil the organization’s requirements.

**KEY SKILLS:**

* **Problem Solving Capability** –Resolved in-depth queries in a methodical manner and analyze identifythe complex data points to arrive solution. With internal & external business partners to find appropriate resolutions and efficiencies, all with a high level of quality.
* **Planning & Organizing** –Refines planning and organizational skills that balance work, team supportand ad-hoc responsibilities in a timely and professional manner.

**PROFESSIONAL SNAPSHOT:**

**Currencies Direct** (20th November 2017 - Present)

**Profile:** Compliance Executive

* Performing the Due Diligence on new Private and Corporate clients, requesting the KYC information, documentation, review and verification of received documents.
* Investigating High Risk Clients and reporting where necessary, including Politically Exposed Persons, and obtaining all necessary documentation to complete the client file.
* Analysis of red flags/alerts generated by AML system to identify suspicious activity in the accounts.
* Forward AML alerts to branches for carrying out enhanced due diligence and follow-up for ultimate closure of the alerts.
* Preparation and filing of SAR Reports within the prescribed time frames.

**Royal Bank of Scotland** (9th November 2015 to 17th November 2017) Experience: **2 Years**

Profile: **Analyst Fatca-CRS Operations**

* Validate the customer’s information on taxable jurisdiction.
* Part of Individual, Entity, Change Review, Validation and Review team.
* Quality Assurance audit for cases processed by Process Analyst.
* Review of Customer Status & assign the Reporting Country.
* Process Knowledge Management – Cross-trained 50+ on multi process areas.

**CERTIFICATIONS:**

* Anti-Money Laundering Level 1.
* Quality Skill Assessment Level 1.

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| **EDUCATIONAL QUALIFICATIONS:** |  |  |
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| **Course/ Degree** |  | **College/University** | **Passing Year** | **Percentage** |
| MBA (FINANCE) | Dr. D. Y. Patil University, Navi Mumbai | 2015 | 68.70% |
| BCA | Veer Narmad South Gujarat University | 2013 | 69.00% |
| **PERSONAL PROFILE:** |  |  |
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| **Date of birth:** |  | 6th June, 1992 |  |  |
| **Nationality:** |  | Indian |  |  |
| **Gender:** |  | Male |  |  |
| **Languages Known:** |  | English, Shindhi, Hindi and Gujarati |  |  |
| **Hobbies:** |  | Listening Music, Skating. |  |  |

**DECLARATION:**

I hereby declare that the above mentioned information is true and to the best of my knowledge.