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| |  |  | | --- | --- | | **Sayed**  **Assistant Vice President**  **Market Operations – Middle Office & Regulatory Reporting**  **E-mail :** [sayed-394408@2freemail.com](mailto:sayed-394408@2freemail.com) |  | | |
| A Result oriented, qualified professional with a good track record of 13+ years of experience in Investment Banking industry. All round focus to strike a good balance in focusing on core business requirements. |

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| **CAREER SNAPSHOT** | | |
| **Associate (Assistant Vice President).**  **Market Operations– Regulatory Reporting**  **Assistant Vice President.**  **Assistant Manager.**  **Global Market Operations – Credit Middle Office** | **June 2019 – Till date**  **Jan 2018–June 2019**  **Aug 2014 – Dec 2017** | |
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| **Process Supervisor.** | **Aug 2010-Jul 2014** | |
| Global Trade Services.  **Equity Proprietary Trading – Middle Office** | | |
| **Team Leader.** | **Mar 2009-Jan 2010** | |
| **Mortgage Support.** | | |
| **Analyst.** | **May 2007-Oct 2008** | |
| Mortgage Capital Division  **Reconciliation.** | | |
| **Analyst.** | **Oct 2004-May 2007** | |
| Mortgage. | | |
| **Associate (Assistant Vice President)**  **Market Operations.**   * **Managing MiFiD II T0 & T+1 controls for Regulatory Reporting for Rates Asset Class.** * **Manage various Regulatory Technical Standards for MiFiD II in RTS-2/22/27/28.** * **Ensuring no Regulatory breaches due to operational failures and improving trade/transaction submission %.** * **Liaising with Technology teams to automate processes where possible with help of Alteryx and RPA’s.** * **Identifying automation opportunities and ensure all risk aspects covered before implementation of such fixes.** * **Attesting annual Risk and Control Self-Assessment activity for the process.** * **Representimg process on weekly governance forums.** * **Managing controls like Exception queues, Reconciliations.** * **Leading a team of 5 team members.** * **Escalate outstanding risk issues and ensure the Metrics have the desirable RAG status.**   **AssistantVice President**  **Global Market Operations.**   * **ManagedT0 & T+1 controls for trade support for Bonds - Credit/Rates and reconciliations for Credit Derivatives respectively.** * **Managedfee payment/receivables on Syndicate deals and support desks primary bond issuance trades.** * **Improved Barclays Ranking prematching transaction rate.** * **Eliminated redundant TRAX pre-match process with an annual gain of GBP 72K.** * **Ensured correct Risk/PV’s captured for breaking trades.** * **Products handled were Bonds, CDS, CLN’s and F&O.** * **Lead team of 7 members and 3 processes.** * **Supported traders in daily domestic and international bond trading operations.** * **Determined real time risk for various trades in exceptions queues and reconciliation breaks.** * **Worked on trade queries from Trading, Sales, Settlements, Finance PCG, Compliance, etc.** * **ManagedException queues, FOBO’s and Trax Match for FSMA Regulatory Reporting of Belgium Trades.** * **Voice Confirmation - Broker checks.** * **Took lead and continuously worked with Management, IT and Onshore Stakeholders to understand and streamline Rec logic & System Architecture.** * **Periodic RCSA exercises in order to understand and build strong controls.** * **Built and maintained controls as per the Enterprise Risk Management Framework guidelines and ensure process controls adhere to the standards of ERMF.** * **Reviewed, did risk assessment and confirmed approvals (NAPA) for new business for GMO Mumbai.**   **Process Supervisor**  **Trade Support– Equity Proprietary Trading Middle Office**   * Booked trades as per recaps from trading desks. * Products included Cash equities, CDS, Fixed Incomeand FX. * Set up securities in front office risk system using Bloomberg and Reuters. * Coveredall equity and bond shorts by liaising with stock loan desk. * Liaised with counterparties through phone and emails for discrepancies and trade related issues. * Generated daily fail trade report and report to Trading desk. | | |
| **Team Leader**   * Analyzed and identified key trends and reported findings to operations management team. * Closely followed and communicated transaction discrepancies with both internal and external parties * Interacted with client for process related issues or queries   **Analyst**   * Setting up new loan accounts for US mortgage customers. * Performed daily reconciliations for customers mortgage account.   **Analyst**   * Worked closely with our Loan servicing groups on their reconciling issues. * Assisted in the preparation of accounting and financial month end reports in our management tool. * Recognized to work independently and as a contributing team member. | | |
| **TRAINING ATTENDED** | |
| * NCFMFinancial Markets: Beginners Module * NCFM Derivatives Markets (Dealers) Module * NCFM Mutual Funds: Beginners Module * NCFM Equity Derivatives * NCFM Currency Derivatives | |

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| **PERSONAL DETAILS** | | | |
| Nationality | : | Indian | |
| Marital Status | : | Married | |
| Languages | : | English, Hindi and Marathi | |
| Hobbies | : | Sports (Table tennis – Corporate) | |
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