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| **Sayed****Assistant Vice President****Market Operations – Middle Office & Regulatory Reporting****E-mail :** sayed-394408@2freemail.com  |  |

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| A Result oriented, qualified professional with a good track record of 13+ years of experience in Investment Banking industry. All round focus to strike a good balance in focusing on core business requirements. |

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| **CAREER SNAPSHOT** |
| **Associate (Assistant Vice President).****Market Operations– Regulatory Reporting****Assistant Vice President.****Assistant Manager.****Global Market Operations – Credit Middle Office** |  **June 2019 – Till date****Jan 2018–June 2019****Aug 2014 – Dec 2017** |
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| **Process Supervisor.** | **Aug 2010-Jul 2014** |
| Global Trade Services. **Equity Proprietary Trading – Middle Office** |
| **Team Leader.** | **Mar 2009-Jan 2010** |
| **Mortgage Support.** |
| **Analyst.** | **May 2007-Oct 2008** |
| Mortgage Capital Division**Reconciliation.** |
| **Analyst.** | **Oct 2004-May 2007** |
| Mortgage. |
| **Associate (Assistant Vice President)****Market Operations.*** **Managing MiFiD II T0 & T+1 controls for Regulatory Reporting for Rates Asset Class.**
* **Manage various Regulatory Technical Standards for MiFiD II in RTS-2/22/27/28.**
* **Ensuring no Regulatory breaches due to operational failures and improving trade/transaction submission %.**
* **Liaising with Technology teams to automate processes where possible with help of Alteryx and RPA’s.**
* **Identifying automation opportunities and ensure all risk aspects covered before implementation of such fixes.**
* **Attesting annual Risk and Control Self-Assessment activity for the process.**
* **Representimg process on weekly governance forums.**
* **Managing controls like Exception queues, Reconciliations.**
* **Leading a team of 5 team members.**
* **Escalate outstanding risk issues and ensure the Metrics have the desirable RAG status.**

**AssistantVice President****Global Market Operations.*** **ManagedT0 & T+1 controls for trade support for Bonds - Credit/Rates and reconciliations for Credit Derivatives respectively.**
* **Managedfee payment/receivables on Syndicate deals and support desks primary bond issuance trades.**
* **Improved Barclays Ranking prematching transaction rate.**
* **Eliminated redundant TRAX pre-match process with an annual gain of GBP 72K.**
* **Ensured correct Risk/PV’s captured for breaking trades.**
* **Products handled were Bonds, CDS, CLN’s and F&O.**
* **Lead team of 7 members and 3 processes.**
* **Supported traders in daily domestic and international bond trading operations.**
* **Determined real time risk for various trades in exceptions queues and reconciliation breaks.**
* **Worked on trade queries from Trading, Sales, Settlements, Finance PCG, Compliance, etc.**
* **ManagedException queues, FOBO’s and Trax Match for FSMA Regulatory Reporting of Belgium Trades.**
* **Voice Confirmation - Broker checks.**
* **Took lead and continuously worked with Management, IT and Onshore Stakeholders to understand and streamline Rec logic & System Architecture.**
* **Periodic RCSA exercises in order to understand and build strong controls.**
* **Built and maintained controls as per the Enterprise Risk Management Framework guidelines and ensure process controls adhere to the standards of ERMF.**
* **Reviewed, did risk assessment and confirmed approvals (NAPA) for new business for GMO Mumbai.**

**Process Supervisor****Trade Support– Equity Proprietary Trading Middle Office*** Booked trades as per recaps from trading desks.
* Products included Cash equities, CDS, Fixed Incomeand FX.
* Set up securities in front office risk system using Bloomberg and Reuters.
* Coveredall equity and bond shorts by liaising with stock loan desk.
* Liaised with counterparties through phone and emails for discrepancies and trade related issues.
* Generated daily fail trade report and report to Trading desk.
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| **Team Leader** * Analyzed and identified key trends and reported findings to operations management team.
* Closely followed and communicated transaction discrepancies with both internal and external parties
* Interacted with client for process related issues or queries

**Analyst** * Setting up new loan accounts for US mortgage customers.
* Performed daily reconciliations for customers mortgage account.

**Analyst** * Worked closely with our Loan servicing groups on their reconciling issues.
* Assisted in the preparation of accounting and financial month end reports in our management tool.
* Recognized to work independently and as a contributing team member.
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| **TRAINING ATTENDED** |
| * NCFMFinancial Markets: Beginners Module
* NCFM Derivatives Markets (Dealers) Module
* NCFM Mutual Funds: Beginners Module
* NCFM Equity Derivatives
* NCFM Currency Derivatives
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| **EDUCATION** |

B.Com – Mumbai University |  |
| **PERSONAL DETAILS** |
| Nationality | : | Indian |
| Marital Status | :  | Married |
| Languages | :  | English, Hindi and Marathi |
| Hobbies | : | Sports (Table tennis – Corporate) |
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